

TITLE OF THE INVENTION

IMAGE PROCESSING APPARATUS, METHOD AND STORAGE MEDIUM
THEREFOR

5

FIELD OF THE INVENTION

This invention relates to an image processing
apparatus, method and storage medium for determining
10 whether image information contains a specific mark such
as a watermark.

BACKGROUND OF THE INVENTION

15 Research for multiplexing image information with
other image-related information is being conducted
extensively. There is continuing standardization of a
technique referred to as an electronic-watermark
technique through which image information representing a
20 photograph or picture, etc., is multiplexed with
additional information, such as the name of a copyright
holder and an indication of whether or not the image is
allowed to be used, in such a manner that the additional
information is difficult to distinguish visually, and
25 the multiplexed images are distributed over a network
such as the Internet. Such a watermark often is used

primarily for the purpose of copyright protection.

Another field of application is the prevention of counterfeiting of banknotes, stamps and securities necessitated by improvements in the image quality afforded by image input/output devices such as copiers, scanners and printers. For example, a special mark or watermark is multiplexed with a banknote, stamp or security in advance. When the mark is sensed by an image input/output device, it is assumed that the image information is that of a banknote, stamp or security. In response, printing is halted, a warning is issued or the entire surface of the printout is intentionally covered with blank ink or the like to thereby output a degraded image that makes it impossible to use a printed copy unlawfully.

An example of embedding of a watermark will be described with reference to Fig. 5. This illustrates an example of an electronic watermarking technique through which image information is combined with a high-
20 frequency region, etc., rather than with a region in actual space, after being converted to a frequency region using a Fourier transform.

As shown in Fig. 5, image information is first converted to a frequency region by orthogonal transform processing 501. Examples of orthogonal transforms are a Fourier transform, direct cosine transform (DCT) and

wavelet transform. Next, an adder 402 adds additional information to a specific high frequency that is difficult to distinguish visually. Often the addition is to a high-frequency region because the characteristic of human vision is such that the higher the frequency region, the lower the degree of sensitivity. The signal resulting from the addition operation is returned to a region in actual space by inverse orthogonal transform processing 503. Image information in which the watermark has been embedded is thus obtained. In a case where the watermark is used in a banknote, stamp or security, a transition is made to print processing 504, at which the banknote, stamp or security having the embedded mark, which is difficult to sense visually, is completed.

Fig. 6 illustrates a procedure through which a mark is detected from the paper of the printout. As shown in Fig. 6, printed matter is read by an image reader 601 such as a scanner to input information representing the printed matter. Since the input information represents a gray-scale image obtained by print screening processing, the information is subjected to reconstruction processing 602, which is reverse screening. In general, restoration processing uses an LPF (low-pass filter). At this time the dots constituting the printed matter and scanner aliasing

distortion (moiré) caused by sampling must be eliminated. Next, an orthogonal transform 603 is applied to the reconstructed information and then the embedded additional information is detected from the data of the specific frequency components by detection processing 604.

The orthogonal transformation processing and detection processing can be executed within an image reader such as a scanner, within an image output device such as a printer, within the device drivers of these peripherals, within the operating system of a host computer or within application software.

The foregoing is an example of watermarking in which a mark is embedded in a frequency region. However, there is also a method through which a mark is embedded in a region of actual space rather than in a frequency region.

Further, the specification of Japanese Patent Application Laid-Open (KOKAI) No. 7-36325 is an example of a technique for embedding a visible mark. This application proposes means for adding a mark, which is composed of a plurality of concentric circles having different diameters, to a document and detecting the mark with a high degree of precision.

The techniques mentioned above, however, have a number of problems.

embedded watermark. Further, in order to prove reliably
that no mark or watermark has been embedded, it is
necessary to execute detection processing a plurality of
times and judge the results while changing the detection
5 conditions.

Nevertheless, devices such as copiers solve the
aforementioned problem by using a large memory and
implementing detection processing by hardware.

However, when one considers an arrangement in which
10 the above-described detection processing is executed
within the printer driver of an ink-jet printer or laser
printer, it is found that execution of this detection
processing is not realistic owing to the limited memory
available and software processing speed that is slightly
15 slower than that of processing by hardware. If
processing time of a printer driver is too long, the
print processing speed of the printer engine will exceed
the speed of the printer driver, resulting in a major
decline in performance. For example, the printer engine
20 may cease operating.

SUMMARY OF THE INVENTION

Accordingly, an object of the present invention is
25 to provide an image processing apparatus, method and
storage medium in which when image information for the

purpose of being printed out has been entered, it is possible to determine, to such an extent that will not lower the throughput of a printer, whether the image information contains an image such as a watermark.

5 According to the present invention, the foregoing object is attained by providing an image processing apparatus comprising: input means for inputting image information; determination means for determining whether an input image contains a mark indicative of a specific
10 image; setting means for setting allowable time necessary for the determination to be made by the determination means; and control means for terminating determination processing by the determination means in a case where it cannot be determined whether the input
15 image contains the mark within the allowable time set by the setting means.

Other features and advantages of the present invention will be apparent from the following description taken in conjunction with the accompanying
20 drawings, in which like reference characters designate the same or similar parts throughout the figures thereof.

BRIEF DESCRIPTION OF THE DRAWINGS

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Fig. 1 is a block diagram illustrating the

principal components of an image processing apparatus according to the present invention;

Fig. 2 is a block diagram illustrating an example of the configuration of a printer system according to the present invention;

Fig. 3 is a flowchart illustrating the procedure of an operation performed by mark detection means;

Fig. 4 is a flowchart illustrating the procedure of an operation performed by decision means;

Fig. 5 is a flowchart is a block diagram showing an example of multiplexing;

Fig. 6 is a flowchart is a block diagram showing an example of demultiplexing; and

Fig. 7 is a diagram illustrating an example of registered patterns according to an embodiment of the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT

A preferred embodiment of the present invention will be described in detail with reference to the accompanying drawings.

Fig. 1 is a block diagram illustrating an image processing apparatus according to this embodiment of the invention. As shown in Fig. 1, the apparatus includes a host computer (referred to as a "host" below) 100 for

processing image information; a scanner 110 for reading
an image document and generating image information; a
communication interface (I/F) 120 for receiving the
image information via a communication line; a LAN
5 interface 130 for receiving the image information via a
LAN; a display 140 such as an LCD, PDP, FED or CRT for
displaying the image information as an image; a printer
150 for outputting the image information as an image to
a recording medium such as printing paper; a keyboard
10 (KB) 160 for various man-machine interfaces necessary
for image processing; and a mouse 170.

The host 100 has a CPU for executing image
processing and communication control processing; a ROM
for storing a control program or the like; a RAM used as
15 a working area for storing image information and
executing image processing; a hard disk and magneto-
optic disk for storing a large quantity of data; and an
interface for connecting various peripherals.

Data received by the communication interface (I/F)
20 120 may be facsimile data or digital image information
input via the Internet.

The printer 150 basically comprises a printer
controller for interfacing the host 100 and a printer
engine. The printer engine may be one which operates in
25 accordance with ink-jet technology, electrophotography
or some other printing technology.

The image processing apparatus constructed as set forth above can take on various forms. For example, it can be set up as a stand-alone copier by disconnecting the communication interface and LAN interface from the above-described arrangement and consolidating the display, keyboard and mouse together into a control panel, and as a stand-alone facsimile machine by disconnecting the LAN interface, consolidating the display, keyboard and mouse together into a control panel and using the communication interface as a dedicated interface for facsimile. Further, if a personal computer is employed as the host and the above-mentioned units are connected as peripherals, an image processing system can be constructed.

It should be noted that although the image processing set forth below will be described taking as an example printer-driver software on the host side for creating image information to be output to a printer engine, the present invention is not limited to such an arrangement.

Fig. 2 is a block diagram illustrating image processing executed by a printer driver (software) that has been installed in, e.g., the host, as well as operation peripheral to this processing.

As shown in Fig. 2, an application 200 executed within the host delivers its output to a printer driver

210. An image memory 201 reserved within the printer driver 210 stores, in fixed amounts, rasterized image data in accordance with a user print command from the application 200. It should be noted that rasterization
5 may be performed within the application or within the printer driver.

A controller (control module) 202 administers control of various processes relating to mark detection. On the basis of an execute instruction from the
10 controller 202, a mark detector 203 detects whether a specific mark is contained in a fixed quantity of image information that has been stored in the image memory 201. At the same time that controller 202 issues the detection execute instruction to the controller 202, a
15 time setting unit 204 sets a time limit to be allowed for detection processing and actuates a timer 205. The time limit allowed for detection processing may be set statically in advance statically or dynamically. On the basis of results of detection from the mark detector 203
20 and a time-out signal from the timer 205, a decision unit 206 executes processing to decide the overall result of detection processing. The result of the decision is transmitted to the controller 202. If the decision is that a specific mark has been detected, the
25 controller 202 sends a spooler 207 an instruction to halt the spooling of image information in the image

memory 201, whereby printing is stopped. If the decision is that a specific mark has not been detected, the controller 202 allows printing so that image data that has been spooled via the spooler 207 is transmitted
5 to a printer engine 208 via an interface (not shown).

Fig. 3 is a flowchart illustrating the procedure of operation performed by the mark detector 203. According to this embodiment, operation will be described taking detection of a visible mark as an example.

10 First, at step S301 in Fig. 3, various settings are initialized and a variable n is initialized to 0. Step S302 is a subsampling step at which a fixed amount of image information that has been stored in the image memory 201 is subsampled. The subsampling rate is
15 assumed to be $2^n/8$ for both the horizontal and vertical magnifications. That is, when $n = 0$ holds, one pixel out of eight (i.e., $1/8$) is sampled in regard to both the horizontal and vertical magnifications.

Next, pattern matching is executed with regard to
20 individual patterns registered in advance. It is required that the registered patterns be specific mark patterns that can identify a banknote, stamp or security, etc. In matching processing, a registered pattern also is changed in conformity with the
25 subsampling rate as a manner of course. Patterns of a plurality of marks per $2^n/8$ ($n = 0, 1, 2, 3$) subsamplings

have been stored on the hard disk (not shown) within the host according to this embodiment, as illustrated in Fig. 7, and it is so arranged that any one group of marks will be selected in accordance with the value of
5 n.

Next, at step S304, the rate at which pattern matches are achieved is compared with a threshold value TH(n) set in advance. The threshold value itself also is set to different values depending upon the variable
10 n. The threshold value is stored in a storage device such as a hard disk in advance on a per-subsampling basis.

In pattern matching, decision processing is executed to successively determine whether the value of
15 a subsampled pixel and pixels of a certain one mark of the patterns in Fig. 7 match. However, a predetermined allowable range is provided. More specifically, letting P_i represent the value of a subsampled pixel of a print image and Q_i the value of a pixel in a registered
20 pattern, it is decided that a match with the value of a pixel of interest matches has been achieved when P_i and Q_i satisfy the following relation: $Q_i - \alpha \leq P_i \leq Q_i + \alpha$, where α represents a predetermined value.

The match rate (the rate at which a match with a
25 registered pattern is achieved) can be determined using various evaluation functions, e.g., the ratio of number

As a result, detection processing is started by the detection execute instruction at the same time that the timer starts counting. Whether detection processing has ended is determined at step S404. This is followed by
5 step S505, at which it is determined whether time measured by the timer that started counting has reached a set time. If the timer has not timed out ("NO" at step S405), control returns to step S404. Conversely, if detection processing has not ended within the set
10 time limit, i.e., if a time-out signal has entered as an interrupt signal during detection processing ("YES" at step S405), then it is judged that the specific mark is absent and processing is forcibly terminated at step S406.

15 In other words, as should be obvious from Fig. 4, this embodiment is characterized in that operation is speeded up by providing a time limit during which detection processing is allowed.

There are many cases in which processing for
20 detecting a specific mark is such that proving the absence of mark requires a processing time longer than that needed to determine that a mark is present. The example of the flowchart shown in Fig. 3 is such that if a mark exists in an image, the rate at which pattern
25 matching is judged to have been attained is high even with a coarsely subsampled image, and detection

processing ends at the first match. By contrast, if an image does not contain a mark, repetitive processing must be executed while changing detection conditions in order to demonstrate the absence of the mark. The

5 reason for this is that it is necessary to execute detection processing using finer and finer detection conditions with each repetition. As a consequence, the rate of increase in processing time increases beyond the number of repetitions.

If the foregoing characteristic is utilized, then,
20 even if a certain fixed time limit is provided for
detection processing, this processing will end within
the time limit when a mark (inclusive of a watermark) is
present. As a result, the mark can be detected at a
high probability. In other words, the setting of the
25 time limit makes it possible to shorten greatly time
expended to prove the absence of a mark. This provides

a detection-processing error rate that compares favorably with that obtained when no time limit is set.

As set forth above, a very large number of items of information do not contain a specific mark. The
5 printing of image information containing an embedded specific mark is an act performed by some users with unlawful intentions. For the vast majority of users, mark detection processing itself is processing that is not necessary. In cases where detection processing is
10 built in, therefore, how to design detection processing that does not cause a decline in printer performance is of vital importance.

Further, detection processing is executed repeatedly in increments of the fixed amount of image
15 information that has been stored in the image memory. That is, since there many cases where an image memory does not have enough capacity to store one page of image information, detection processing is executed a plurality of times for a single image.

20 Even if the existence of a specific mark is overlooked when a certain fixed amount of image information is subjected to detection in execution of detection processing a plurality of times, often the specific mark will have been printed over the entirety
25 of the image. By executing processing a plurality of times, therefore, a mark missed in one cycle of

processing is likely to be found in another cycle of processing.

The time limit applied to detection processing will be described next.

- 5 As mentioned earlier, the value of the time limit may be decided statically or dynamically.

Here an ink-jet printer will be taken as an example. There are increasing numbers of such printers capable of printing at high speed thanks to an increase
10 in the ink discharge frequency of the ink head that discharges ink and an increase in printhead density. At the same time, owing to improvements in the CPU performance of the host computer, color processing, image processing such as quantization and resolution
15 conversion and even the creation of print data in the printer driver have been speeded up. Nevertheless, when complicated processing is executed, there are instances where advantage cannot be taken of the speed of the printer engine. In other words, processing executed by
20 the printer driver cannot keep of with the printer engine and, as a result, the printer stops operating temporarily.

Let H represent the time needed to create image data in the printer driver in a case where mark
25 detection processing is not executed, let M represent a critical time at which the printing operation by the

printer engine attains a waiting state in a case where processing steps executed by the printer driver are increased, and let m represent the number of times detection processing is executed in a case where mark detection is performed a plurality of times for one image because of the storage capacity of the image memory. Average allowed time T_{av} per detection operation preferably satisfies the following relation:

$$T_{av} \leq (M-H)/m$$

Thus, there should be no change in the speed of the printer engine whether or not mark detection processing is executed. In other words, with detection processing using the average allowed time T_{av} , there should be no adverse effect upon the performance of the printer engine regardless of the fact that detection processing has been included as additional processing.

An effective method of setting time dynamically is to estimate the time H , which varies dynamically depending upon the amount of local image information, and exercising control in such a manner that the above-cited relation is satisfied. In other words, the time required for image processing such as color processing varies depending upon the complexity of the image information. If this processing time can be estimated, then so can the value of H , which is the overall processing time. In any case, the optimum value of T_{av}

can be calculated by finding the value of H in the above-cited relation experimentally.

Printer processing speed can also be found by, e.g., making a connection by a bidirectional

5 communication cable, querying the printer for the model name at the initial stage of printing and referring to the acknowledge signal sent back. More specifically, since the processing capability of the printer may be judged by ascertaining the model name of the printer,
10 the value of T_{av} may be read out of a pre-registered table based upon the clarified named of the printer model and may be set as the time-out period.

The foregoing is a description of the preferred embodiment. However, as mentioned above, the conditions
15 of the mark detection means can be changed by a method other than that of Fig. 3. Ordinarily, detection processing employs a method in which detection is performed coarsely at the beginning and then more finely in a gradual manner. However, this embodiment is
20 effective in a method wherein detection processing is performed repeatedly while varying the detection conditions.

By way of example, the invention is applicable to a case where the number of quantization bits of image data
25 to be printed is varied. More specifically, in a case where a print image is represented by eight bits per

pixel, detection is performed based upon the four higher order bits at the initial stage of mark detection, then detection is subsequently performed while raising the number of bits gradually to five, six and so on.

- 5 Naturally, if a mark is detected at any of these stages, no further detection processing is carried out.

The present embodiment has been described taking a printer driver as an example. However, this does not impose any limitation and the invention may be applied
10 to processing executed within a printer engine. Further, similar processing can be implemented even if the device which inputs the image information is a scanner proper or a unit within the scanner driver.

Further, an example has been described in which it
15 is determined whether image data to be printed contains an image (a visible image or a visible watermark) that matches the registered image of a mark. However, the invention may be applied to a case where an image to be printed contains an invisible watermark. In such case
20 an orthogonal transform would be applied in, e.g., units of (8×8) -pixel blocks subsampled from rasterized image data in the manner described earlier, and registered values would be compared with the value of a specific frequency component within this block of pixels.

25 It goes without saying that the object of the invention is attained also by supplying a storage medium

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(or a recording medium) storing the program codes of the software for performing the functions of the foregoing embodiment to a system or an apparatus, reading the program codes with a computer (e.g., a CPU or MPU) of

5 the system or apparatus from the storage medium, and then executing the program codes. In this case, the program codes read from the storage medium implement the novel functions of the embodiment and the storage medium storing the program codes constitutes the invention.

10 Furthermore, besides the case where the aforesaid functions according to the embodiment are implemented by executing the program codes read by a computer, it goes without saying that the present invention covers a case where an operating system or the like running on the

15 computer performs a part of or the entire process in accordance with the designation of program codes and implements the functions according to the embodiment.

It goes without saying that the present invention further covers a case where, after the program codes

20 read from the storage medium are written in a function expansion card inserted into the computer or in a memory provided in a function expansion unit connected to the computer, a CPU or the like contained in the function expansion card or function expansion unit performs a

25 part of or the entire process in accordance with the designation of program codes and implements the function

of the above embodiment.

Thus, in accordance with the present embodiment, as described above, detection processing that does not cause a decline in printer performance can be
5 implemented by utilizing the characteristics of mark detection.

Further, since an implementation in which detection processing is incorporated in a printer driver is improved, it is possible to inhibit counterfeiting of
10 banknotes, stamps and securities, etc., and to prevent copyright infringement of image information even in systems other than closed systems such as copiers.

In accordance with the present invention, as described above, when image information for the purpose
15 of being printed out has been entered, it is possible to determine, to such an extent that will not lower the throughput of a printer, whether the image information contains an image such as a watermark.

As many apparently widely different embodiments of
20 the present invention can be made without departing from the spirit and scope thereof, it is to be understood that the invention is not limited to the specific embodiments thereof except as defined in the appended claims.